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CONFIRMATION NO. 5888

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.		
10/711,889	10/12/2004 RULE	705	4143	014033-000037		
APPLICANTS Ross L. Stevens, New York, NY; George S. Corey JR., Westport, CT; Vlad Portnoy, New York, NY; ** CONTINUING DATA ***** This appln claims benefit of 60/566,708 04/30/2004 ** FOREIGN APPLICATIONS ***** ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 11/04/2004						
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and Acknowledged <u>/JOHN O PRESTON/</u> Examiner's signature		<input type="checkbox"/> Met after Allowance <u>Initials</u>	STATE OR COUNTRY NY	SHEETS DRAWINGS 4	TOTAL CLAIMS 44	INDEPENDENT CLAIMS 6
ADDRESS MOORE & VAN ALLEN, PLLC FOR BOFA 430 DAVIS DRIVE, SUITE 500 POST OFFICE BOX 13706 RESEARCH TRIANGLE PARK, NC 27709 UNITED STATES						
TITLE METHOD AND SYSTEM FOR BLOCK TRADING OF SECURITIES						
FILING FEE RECEIVED 1486	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		